GDPR…GOBD, ISO.. Or how do I get my data processing data privacy compliant

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Don't worry

Our standard maintenance is not
data processing

- Legal basis- customer cases - technical implementation

… but batch production is!

- **Article 2 EU GDPR "Material scope"**

(1) This Regulation applies to the processing of personal data wholly or partly by automated means and to the processing other than by automated means of personal data which form part of a filing system or are intended to form part of a filing system.

Art. 13 GDPR Duty to inform

Art. 13 GDPR
"Information to be provided where personal data are collected from the data subject"

- (2) In addition to the information referred to in paragraph 1, the controller shall, at the time when personal data are obtained, provide the data subject with the following further information necessary to ensure fair and transparent processing:

    (a) the period for which the personal data will be stored, or if that is not possible, the criteria used to determine that period;

    (b) the existence of the right to request from the controller access to and rectification or erasure of personal data or restriction of processing concerning the data subject or to object to processing as well as the right to data portability;

    […]

05.11.2018

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Art. 15 GDPR
Right to get information

Art. 15 GDPR
Right to get information

- (1) The data subject shall have the right to obtain from the controller confirmation as to whether or not personal data concerning him or her are being processed, and, where that is the case, access to the personal data and the following information

  a) the purposes of the processing;

  b) the categories of personal data concerned;

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Enabling

Customer case 1 – Loan processing and _beta doc|z

- **Processing before GDPR:**
    - One list for monthly payment streams, customer data and due dates
    - Selection by time and amount

    Problem: No selection by customer name!

Solution:

- Indication of customer names within general lists
- Format after enabling GDPR rules with doc|z plus:
  - One list for monthly payment streams, customer data and due dates
  - Selection by time and amount **and names!**

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Customer case 2 – Within the finance sector

- Idea of a transparent maintened archive:
- Prolongen or shorten of retention times with the archive
  - New and older lists
  - Due to customer processes information was stored in different lists in the same archive
    - Daily, weekly, monthly, by quarter…
    - Initial request was to reduce storage and the ability to delete certain lists <u>AFTER</u> approval
    - Problem: Approval has to be compliant with several regulations within the company.

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Solution:

- Making a documented workflow available
- Keeping the 4 eye principle
  - All neccessary steps will be stored in an individuel data bank with names, dates amd type of change
  - In addition a new list with all the changes is created and archieved
  - The individual job logs are transferred to Beta log management and archieved

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Process and technical implementation – Case 2

- The implementation is separated into 3 main groups
  - Collection of the purpose
  - Generation of the technical tools for implementation
  - And the approval to be compliant to other regulations (internal/external)
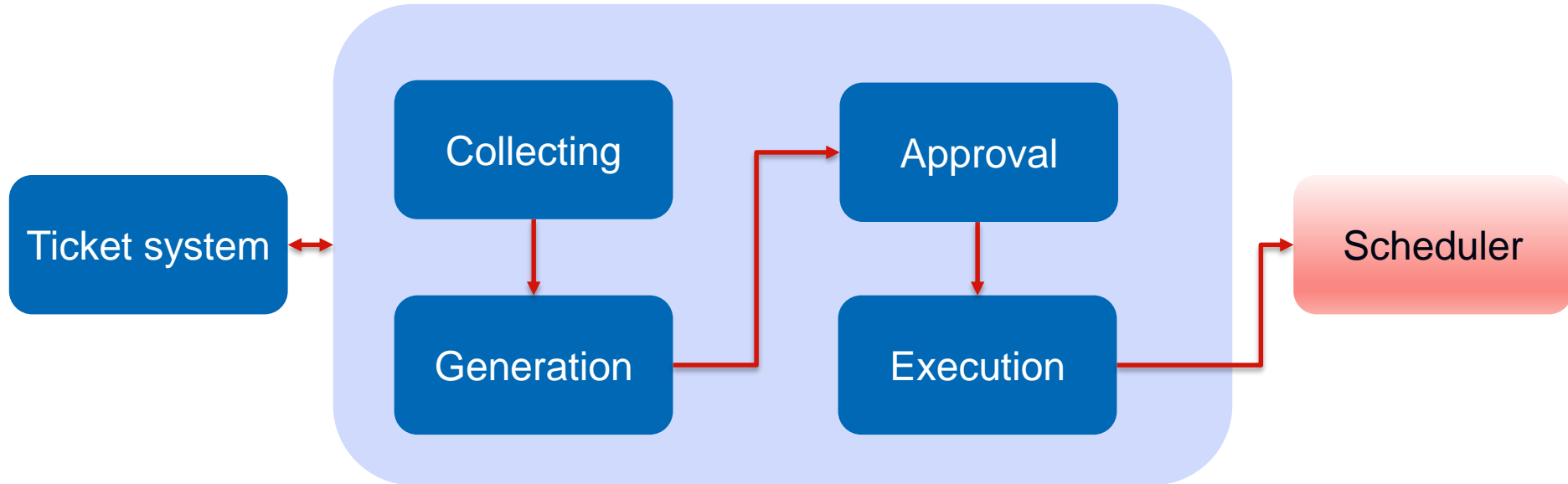
Collection → Generation → Approval

Process and technical implementation – Case 2

- Due to the embedded ticket system the process is getting documented and is giving direct feedback
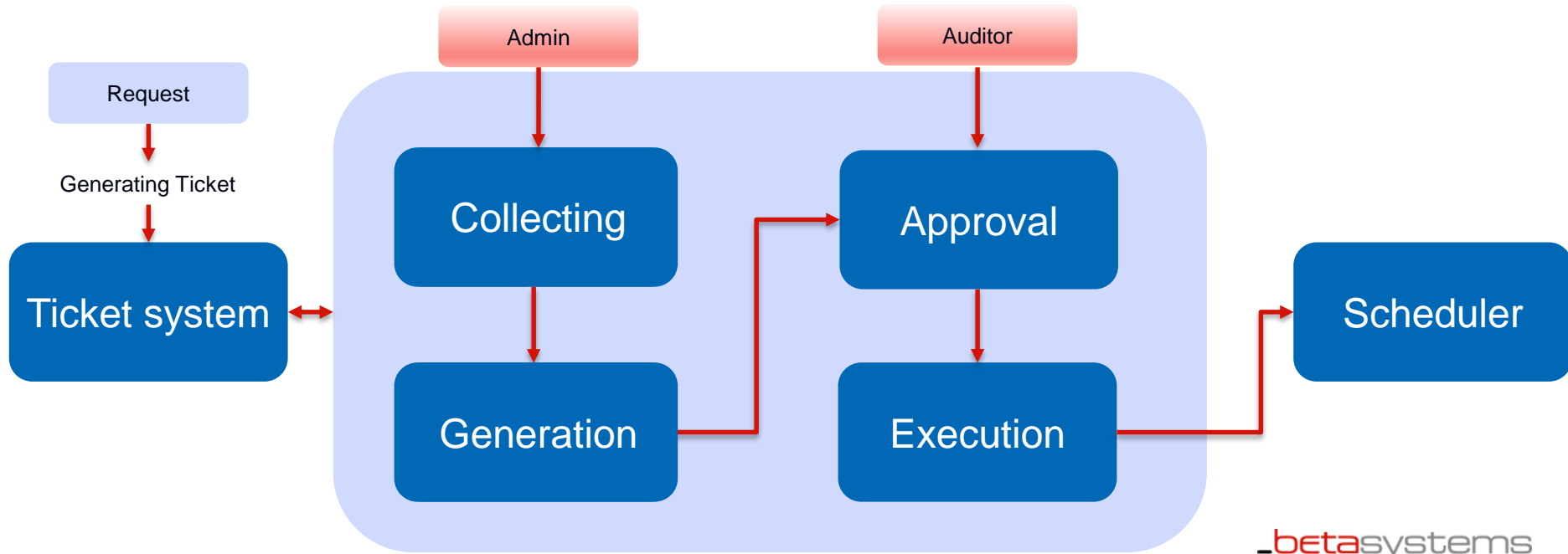

Ticket system → Collection → Generation → Approval

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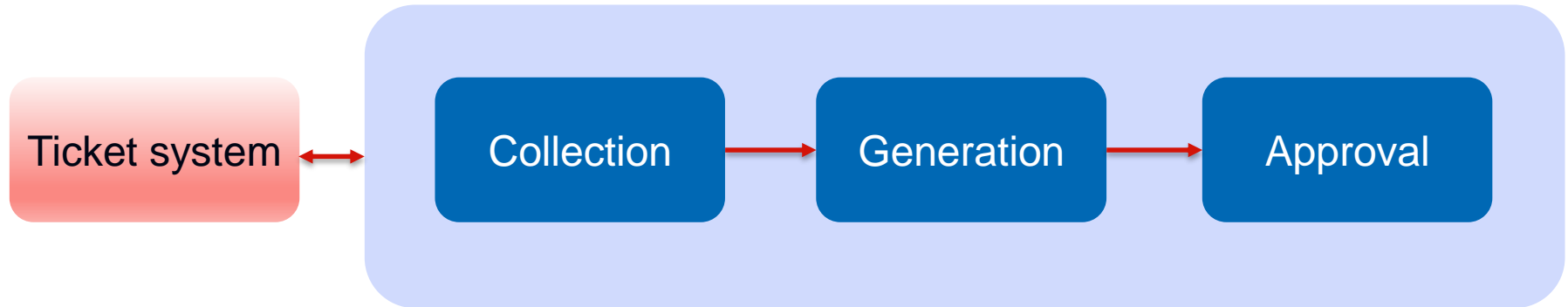
Process and technical implementation – Case 2

- A scheduler can take it into an automed process, if needed
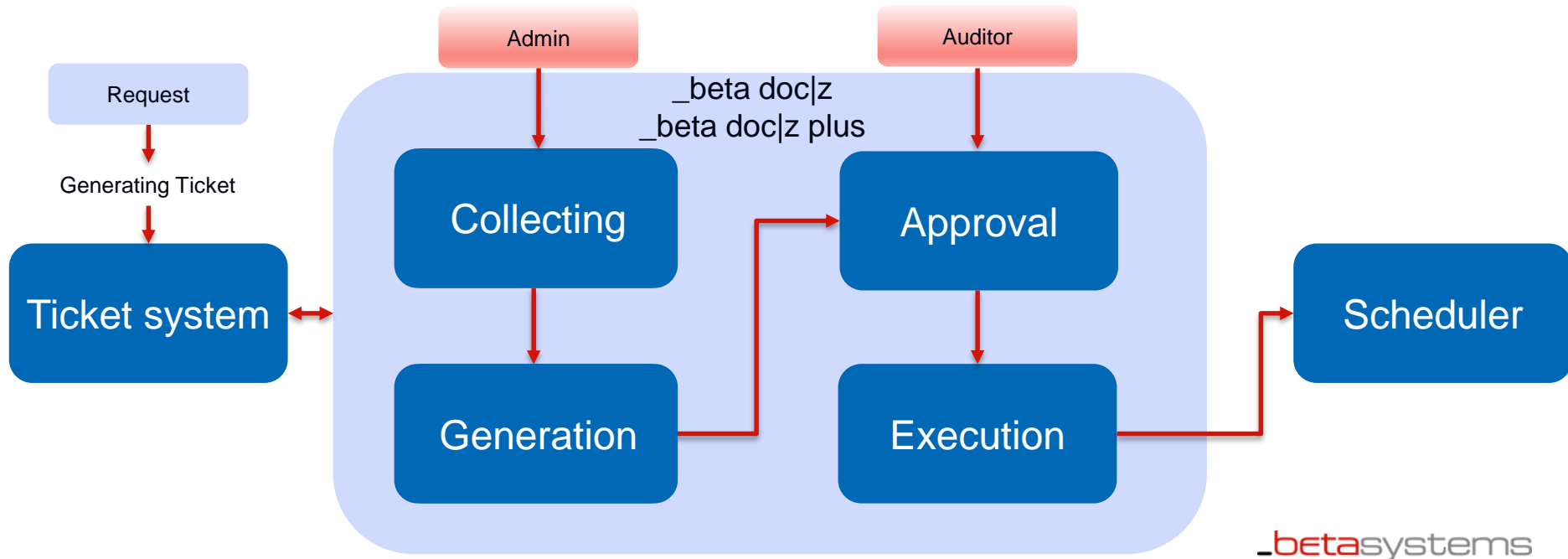

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Process and technical implementation – Case 2

- The approval process is looking at all aspects
- The execution will be started by administrator

Process and technical implementation – Case 2

- Basis for the technical implementation is _beta doc|z and _beta doc|z plus

Enabling GDPR rules with Beta Software

Result:

- Requirements from Art. 13 and Art. 15 can be fulfilled, and
- Data can be processed and looked at on a individual-related basis